



Intermediary Decision In Principle Application/Key Facts Illustration Enquiry Form

SUBMIT YOUR CLIENT'S
APPLICATION ONLINE
www.halifax-intermediaries.co.uk

- What information are you requesting? KFI (complete sections, 1,2,5,6 and 7) Decision In Principle including KFI (complete all sections)
- Level of Service for this application Advised Non Advised
- Commission passed to applicant None Part Full

Section 1. HPS/Intermediary

Name of adviser (inc. Title)

Fax number/Telephone number

Company name

Address/E-mail address

 Postcode

Company FSA registration number E-mail

Section 2. Customer details

	Customer 1	Customer 2
Existing roll number	<input type="text"/>	<input type="text"/>
Title Mr/Mrs/Miss/Ms/Dr/Other	<input type="text"/>	<input type="text"/>
Full forename(s)	<input type="text"/>	<input type="text"/>
Surname	<input type="text"/>	<input type="text"/>

Note: The names written here must be identical to the names of the buyer(s) of the property, for example, if you hold or will hold the property in joint names, you should give both names. If there are more than two of you applying, then the details of the additional person(s) applying must be sent out on a separate sheet. Please tick if separate sheet attached.

Date of birth (DD MM CC YY)	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="CC"/> <input type="text" value="YY"/>	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="CC"/> <input type="text" value="YY"/>
Gender	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/>	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/>
Marital status	<input checked="" type="checkbox"/> Married <input type="checkbox"/> Single <input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced <input type="checkbox"/>	<input type="checkbox"/> Married <input type="checkbox"/> Single <input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced <input type="checkbox"/>
No. of dependant children (if any)	<input type="text"/>	<input type="text"/>
Nationality	<input type="text"/>	<input type="text"/>
Country of residence	<input type="text"/>	<input type="text"/>
Previous name(s)	<input type="text"/>	<input type="text"/>
Current address	<input type="text"/> <input type="text"/> Postcode <input type="text"/>	<input type="text"/> <input type="text"/> Postcode <input type="text"/>

Residential status	<input checked="" type="checkbox"/> Mortgage free <input type="checkbox"/> Homeowner with a residential mortgage <input type="checkbox"/> Homeowner without a residential mortgage <input type="checkbox"/> Family/Friend <input type="checkbox"/> Renting private <input type="checkbox"/> Renting employer <input type="checkbox"/> Renting local authority <input type="checkbox"/>	<input type="checkbox"/> Mortgage free <input type="checkbox"/> Homeowner with a residential mortgage <input type="checkbox"/> Homeowner without a residential mortgage <input type="checkbox"/> Family/Friend <input type="checkbox"/> Renting private <input type="checkbox"/> Renting employer <input type="checkbox"/> Renting local authority <input type="checkbox"/>
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Customer 1

Customer 2

Home telephone number

STD	
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STD	
-----	--

Daytime telephone number

STD	
-----	--

STD	
-----	--

Mobile telephone number

STD	
-----	--

STD	
-----	--

Fax number

STD	
-----	--

STD	
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E-mail

Preferred contact method/time

Special need *eg. braille, large print etc.*

When did you move to this address? (DD MM CC YY)

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If less than three years, please provide previous address

Postcode

Postcode

Residential status at this address

Start date of residence (DD MM CC YY)

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End date of residence (DD MM CC YY)

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If total time at both addresses is less than three years, please give all previous addresses for the last three years on a separate sheet. If your correspondence address is different from that given by the first named applicant's present address, please give details on separate sheet. Please tick if separate sheet attached.

Section 3. Personal details

Occupation

e.g. Sales, Office, Professional

Contract type

e.g. Permanent, Probationary, Subcontract

Start date of employment (DD MM CC YY)

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Are you self-employed?

Yes No

Yes No

If 'yes', do you own a share of the business? If so, what percentage?

	%
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	%
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Basic annual income before tax

£		a year
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£		a year
---	--	--------

Regular annual overtime

£		a year
---	--	--------

£		a year
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Guaranteed annual bonus

£		a year
---	--	--------

£		a year
---	--	--------

Regular/guaranteed annual commission

£		a year
---	--	--------

£		a year
---	--	--------

Total

£		a year
---	--	--------

£		a year
---	--	--------

3 years' accounts available?

Yes No

Yes No

Net profit (CC YY)

Year		£	
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Year		£	
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Year		£	
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Year		£	
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Year		£	
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Year		£	
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Retirement Age

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Section 4. Commitments

Cards held (All applicants)

Switch/Visa Debit Card Visa/MasterCard Amex/Diners card Store/other cards

Accounts held (All applicants)

Current Account Bank or other loan Savings account

	Customer 1	Customer 2
Time with bank	years months	years months
	Please give details of all existing credit commitments/personal loans/maintenance payments etc. To help us process your application please include all credit cards on which you currently do not owe any money – use a separate sheet if required. Please tick if separate sheet attached. <input type="checkbox"/>	
	Commitment 1	Commitment 2
Commitment type	<input type="text"/>	<input type="text"/>
Whose commitment is it?	<input type="text"/>	<input type="text"/>
Who is it with?	<input type="text"/>	<input type="text"/>
Monthly payment	<input type="text"/>	<input type="text"/>
Balance outstanding	<input type="text"/>	<input type="text"/>
End date	<input type="text"/>	<input type="text"/>
If in arrears, number of months	<input type="text"/>	<input type="text"/>
Intend to repay on completion?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

Section 5. Loan details

Estimated purchase price/valuation	<input type="text"/>
Estimated amount of loan	<input type="text"/>
Term	<input type="text"/> years
In what area are you looking to purchase a property?	<input type="checkbox"/> Scotland & N Ireland <input type="checkbox"/> North <input type="checkbox"/> North West <input type="checkbox"/> East <input type="checkbox"/> South Wales & West <input type="checkbox"/> Greater London <input type="checkbox"/> South East <input type="checkbox"/> Midlands
Purchase price of share (if shared ownership)	<input type="text"/>
Full market value (if Right to Buy)	<input type="text"/>

	Customer 1	Customer 2
Customer type	<input type="checkbox"/> First time buyer <input type="checkbox"/> Remortgage <input type="checkbox"/> Existing Borrower <input type="checkbox"/> Home mover <input type="checkbox"/> Non residential owner <input type="checkbox"/> Remortgage own conveyancer	<input type="checkbox"/> First time buyer <input type="checkbox"/> Remortgage <input type="checkbox"/> Existing Borrower <input type="checkbox"/> Home mover <input type="checkbox"/> Non residential owner <input type="checkbox"/> Remortgage own conveyancer
Source of deposit (both applicants to complete)	<input type="checkbox"/> Bank/Building Society account in own name <input type="checkbox"/> Salary <input type="checkbox"/> Sale of property <input type="checkbox"/> Inheritance <input type="checkbox"/> Family gift <input type="checkbox"/> Other (please specify) <input type="checkbox"/> Multiple sources (please specify)	
What fees are to be added to the loan and not paid within 14 days of completion?	Product Fee Yes <input type="checkbox"/> No <input type="checkbox"/>	Higher Lending Charge Yes <input type="checkbox"/> No <input type="checkbox"/>

Section 6. Product Details

Provide details of the products to be used with this application.

	Product 1	Product 2	Product 3
Type of product e.g. fixed, tracker	<input type="text"/>	<input type="text"/>	<input type="text"/>
Product term/end date	<input type="text"/>	<input type="text"/>	<input type="text"/>
Interest Rate charged	<input type="text"/>	<input type="text"/>	<input type="text"/>
Amount allocated to this product	<input type="text"/>	<input type="text"/>	<input type="text"/>
Product code (if known)	<input type="text"/>	<input type="text"/>	<input type="text"/>

Section 7. Intermediary Fees

Fee type	Fee 1. <input type="text"/>	Fee 2. <input type="text"/>	Fee 3. <input type="text"/>
Is the fee refundable?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
Fee amount	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fee due	<input type="text"/>	<input type="text"/>	<input type="text"/>

Signature of Adviser **Date**

I confirm that I have drawn the applicant(s) attention to the Customer Confirmation below.

Customer Confirmation

If I apply for a Decision In Principle I confirm that I make the following statements:

I agree that:-

I will notify you of any changes in circumstances relating to my purchase or mortgage before it is entered into and I authorise my conveyancer to disclose such information to you; relevant data may be passed to any guarantor of my loan or to their legal adviser.

DATA PROTECTION NOTICE

To see how we use your information, please read the privacy statement on our website www.halifax.co.uk/privacy or ask for a printed copy of this.

We will use your information to contact you by mail, telephone, e-mail, SMS or otherwise about any other products and services that may be of interest to you. If you do not wish to receive this information please advise your local branch or visit www.halifax.co.uk/privacy for details on how to opt out of this service.

We will search credit reference and fraud prevention agencies to check your identity, credit status and help us make decisions. For this application, we will assess you on your own (except for another party to this application). If you submit an application, you declare that your financial associate's finances will not affect our decision. We check your declaration and may decline the application if it is inaccurate.

If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies to prevent fraud and money laundering. Further details explaining how information held by fraud prevention agencies may be used can be obtained by reading the privacy statement at www.halifax.co.uk/privacy or contacting your local branch.

If you apply for a Decision In Principle you agree that we can use your information in the ways described.